TRANSNET FREIGHT RAIL RME, a division of

TRANSNET SOC LTD

Registration Number 1990/000900/30 [hereinafter referred to as **Transnet**]

REQUEST FOR QUOTATION [RFQ] No RME JHB 407/2015

FOR THE HIRE AND DELIVERY OF 0X 60 TON MOBILE CRANES

FOR DELIVERY TO: CTRIODORA AT HOUTBOSHOEK ± 30 KM FROM NELSPRUIT
TOWARDS JOHANNESBURG

ISSUE DATE: 01 JUNE 2015

CLOSING DATE: 09 JUNE 2015

CLOSING TIME: 12:00 PM

Section 1 NOTICE TO BIDDERS

Quotations which must be completed as indicated in Section 2 of this RFQ are to be submitted as follows:

METHOD: Hand delivery / Courier
CLOSING VENUE: Main Reception Area

Transnet Freight Rail RME

Cnr Jetpark and Northreef Roads

Elandsfontein

1406

1 Responses to RFQ

Responses to this RFQ [**Quotations**] must not include documents or reference relating to any other quotation or proposal. Any additional conditions must be embodied in an accompanying letter.

2 Broad-Based Black Economic Empowerment [B-BBE

Transnet fully endorses and supports the Government's Broad-Based Black Economic Empowerment Programme and it would therefore prefer to do business with local business enterprises who share these same values. Transnet will accordingly allow a "preference" to companies who provide a valid B-BBEE Verification Certificate. All procurement transactions will be evaluated accordingly.

2.1 B-BBEE Scorecard and Rating

As prescribed in terms of the Preferential Procurement Policy Framework Act (PPPFA), Act 5 of 2000 and its Regulations, Respondents are to note the following:

- Proposals will be evaluated on price which will be allocated 80 points and preference which will be allocated 20 points, dependent on the value of the Services.
- The 80/20 preference point system applies where the acquisition of the Services will be less than R1 000 000.00.
- If the 80/20 preference point system is stipulated and all Bids received exceed R1 000 000.00, the RFQ will be cancelled.
- In this RFQ, Transnet will apply 80/20 preference point system prescribed in the PPPFA.

In compliance with the Government Gazette No 34612, Notice No. 754 dated 23 September 2011, as from 1 October 2011 valid B-BBEE Verification Certificates must be issued by:

- (i) Verification Agencies accredited by the South African National Accreditation System [SANAS]; or
- (ii) Registered Auditors approved by the Independent Regulatory Board of Auditors [IRBA], in accordance with the approval granted by the Department of Trade and Industry.

Enterprises will be rated by such agencies based on the following:

- a) Large Enterprises [i.e. annual turnover greater than R35 million]:
 - Rating level based on all seven elements of the B-BBEE scorecard
- b) **Qualifying Small Enterprises QSE** [i.e. annual turnover between R5 million and R35 million]:
 - Rating based on any four of the elements of the B-BBEE scorecard
- c) **Exempted Micro Enterprises EME** [i.e. annual turnover less than R5 million]:

In accordance with B-BBEE Codes of Good Practice [Statement 000, Section 4], any enterprise with an annual total revenue of R 5 million or less qualifies as an EME.

- Automatic rating of B-BBEE Level 4 irrespective of race or ownership
- Black ownership greater than 50% or Black Women ownership greater than 50% automatically qualify as B-BBEE Level 3

Sufficient evidence to qualify as an EME would be a certificate (which may be in the form of a letter) from an auditor or accounting officer or a certificate from a Verification Agency accredited by SANAS. The certificate must confirm the company's turnover, black ownership / black female ownership, B-BBEE status level and validity date.

Respondents are required to furnish proof of the above to Transnet. [i.e. a valid detailed scorecard as stipulated above in respect of Large Enterprises and QSEs, or a valid certificate in respect of EMEs].

Transnet will accordingly allocate a maximum of **20 [twenty] points** in accordance with the **80/20** preference point system prescribed in the Preferential Procurement Policy Framework Act (PPPFA), Act 5 of 2000 and its Regulations to the Respondent's final score based on an entity's B-BBEE scorecard rating. [Refer Annexure A — B-BBEE Preference Points Claim Form for further details].

N.B. Failure to submit a \$ BBEE certificate, which is valid as at the Closing Date of this RFP, will result in a score of the period allocated for B-BBEE.

[Refer clause 18 below for Returnable Documents required]

3 Communication

- a) Respondents are warned that a response will be liable for disqualification should any attempt be made by a Respondent either directly or indirectly to canvass any officer(s) or employee of Transnet in respect of this RFQ between the closing date and the date of the award of the business.
- b) A Respondent may, however, before the closing date and time, direct any written enquiries relating to the RFQ to the following Transnet employee:

Name: Thato Lehana

Email: thato.lehana@transnet.net

c) Respondents may also, at any time after the closing date of the RFQ, communicate with Tlalane Mokiba on any matter relating to its RFQ response:

Telephone 011 878 7045

Email Tlalane.Mokiba@transnet.net

4 Tax Clearance

The Respondent's original valid Tax Clearance Certificate must accompany the Quotation. Failure to provide this document with the RFQ submission will result in disqualification.

5 VAT Registration

The valid VAT registration number must be stated here: ______ [if applicable].

6 Legal Compliance

The successful Respondent shall be in full and complete compliance with any and all applicable national and local laws and regulations.

7 Changes to Quotations

Changes by the Respondent to its submission will not be considered after the closing date and time.

8 Pricing

All prices must be quoted in South African Rand on a fixed price, asis, excluding VAT.

9 Prices Subject to Confirmation

Prices quoted which are subject to confirmation will not be considered.

10 Negotiations

Transnet reserves the right to undertake polt-tender negotiations with selected Respondents or any number of short-listed Respondents.

11 Binding Offer

Any Quotation furnished purtuant to this Request shall be deemed to be an offer. Any exceptions to this statement must be clean and specifically indicated.

12 Disclaimers

Transnet is not committed to any course of action as a result of its issuance of this RFQ and/or its receipt of a Quotation in response to it. Please note that Transnet reserves the right to:

- modify the RFQ's goods / service(s) and request Respondents to re-bid on any changes;
- reject any Quotation which does not conform to instructions and specifications which are detailed herein;
- disqualify Quotations submitted after the stated submission deadline;
- not necessarily accept the lowest priced Quotation;
- reject all Quotations, if it so decides;
- place an order in connection with this Quotation at any time after the RFQ's closing date;
- award only a portion of the proposed goods / service/s which are reflected in the scope of this RFQ;
- split the award of the order/s between more than one Supplier/Service Provider; or
- make no award at all.

In addition, Transnet reserves the right to exclude any Respondent from the bidding process who has
been convicted of a serious breach of law during the preceding 5 [five] years, including but not limited to
breaches of the Competition Act 89 of 1998. Respondents are required to indicate below whether or not
they have been found guilty of a serious breach of law during the past 5 [five] years:
I/We do hereby certify that I/we
have/have not been found guilty during the preceding 5 [five] years of a serious breach of law,
including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or
other administrative body. The type of breach that the Respondent is required to disclose excludes
relatively minor offences or misdemeanours, e.g. traffic offences.
Where found guilty of such a serious breach, please disclose:
NATURE OF BREACH:
DATE OF BREACH:

Furthermore, I/we acknowledge that Transnet SOC Lighteserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

13 Evaluation Criteria

Transnet will utilise the following diteria [not necessarily in this order] in choosing a Supplier/Service Provider, if so required:

- Administrative responsiveness Completeness of response and returnable documents
- Substantive responsiveness Prequalification criteria, if any, must be met and whether the Bid materially complies with the scope and/or specification given
- Weighted evaluation based on 80/20 preference point system as indicated in paragraph 2 above:
 - Pricing and price basis [firm] whilst not the sole factor for consideration, competitive pricing and overall level of unconditional discounts¹ will be critical
 - B-BBEE status of company

Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points (80/20 system)
1	20

¹ Only unconditional discounts will be taken into account during evaluation. A discount which has been offered conditionally will, despite not being taken into account for evaluation purposes, be implemented when payment is effected.

2	18
3	16
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

14 Validity Period

Transnet desires a validity period of 90 [Ninety] days from the closing date of this RFQ. This RFQ is valid until 15 October 2015.

15	Banking Details
	BANK:
	BRANCH NAME / CODE:
	ACCOUNT HOLDER:
	ACCOUNT NUMBER:
16	Company Registration
	Registration number of company / C.C.
	Registered name of company / C.C.
17	Disclosure of Prices Quoted
	Respondents must indicate here whether Transnet may disclose their quoted prices and conditions to
	other Respondents:
	YES NO NO

18 Returnable Documents

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below.

a) Respondents are required to submit with their Quotations the **Returnable Documents**, as detailed below.

Failure to provide all these Returnable Documents at the Closing Date and time of this RFQ may result in a Respondent's disqualification. Respondents are therefore urged to ensure that <u>all</u> these Documents are returned with their Quotations.

All Sections, as indicated in the footer of each page, must be signed, stamped and dated by the Respondent. Please confirm submission of these mandatory Returnable Documents by so indicating [Yes or No] in the table below:

Returnable Documents	Submitted [Yes or No]
SECTION 1 : Notice to Bidders	
 Valid B-BBEE Verification Certificate [RSA Large Enterprises and QSEs] Note: failure to provide a valid B-BBEE Verification Certificate at the closing date and time of the tender will result in an automatic score of zero being allocated for B-BBEE scorecard 	
 Valid B-BBEE certificate from auditor, accounting officer or SANAS accredited Verification Agency [RSA EMEs] Note: failure to provide a valid B-BBEE Verification Certificate at the closing date and time of the tender will result in an automatic score of zero being allocated for B-BBEE scorecard 	
- In the case of Joint Ventures, a copy of the Joint Venture Agreement or written confirmation of the intention to enter into a Joint Venture Agreement	
SECTION 2 : Quotation Form	
SECTION 3: Vendor Application Form	
 Original cancelled cheque or bank verification of banking details 	
Certified copies of IDs of shareholder/directors/members [as applicable]	
 Certified copy of Certificate of Incorporation [CM2]/CM9 name change] 	
Certified copy of share certificates [CK1/GK2 if C.C.]	
Entity's letterhead	
 Certified copy of VAT Registration Certificate [RSA entities only] 	
Certified copy of valid Company legistration Certificate [if applicable]	
Original valid Tax Clearance Certificate [Consortia / Joint Ventures / Subcontractors must submit a separate Tax Clearance Certificate for each party]	

Section 2 QUOTATION FORM

I/We

hereby offer to supply the goods/services at the prices quoted in the Price Schedule below, in accordance with the conditions related thereto.

I/We agree to be bound by those terms and conditions in:

- the Standard Terms and Conditions for the Supply of Goods or Services to Transnet [available on request]; and
- any other standard or special conditions mentioned and/or embodied in this Request for Quotation.

I/We accept that unless Transnet should otherwise decide and so inform me/us, this Quotation [and, if any, its covering letter and any subsequent exchange of correspondence], together with Transnet's acceptance thereof shall constitute a binding contract between Transnet and me/us.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Quotation, I/we fail to deliver the said goods/service/s within the delivery lead time quoted, Transnet may, without prejudice to any other legal remedy which it may have, cancel the order and recover from me/us any expenses incurred by Transnet in calling for Quotations affects and/or having to accept any less favourable offer.

♣rice Schedule

I/We quote as follows for the goods equired, on a "delivered nominated destination" basis, excluding VAT:

Item No	Description of Goods /Services	Unit of Measure	Quantity	Unit Price (ZAR)	Total Price (ZAR)
1	Hire, 60Ton Mobile Hydraulic Crane:	7	EA		
	-As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day				
	- Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site				
	- Operator and Crew with Mobile Crane have				

				1	1
	to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993				
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works				
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857		lu.		
2	Hire, 60Ton Mobile Hydraulic Crane:	7	EΆ		
	-As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard	1 _O ,			
	the crane and equipment during night time include Accommodation or Transport to and from site				
	- Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f				
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works				
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe				

	@ 0832962857			
3	Site Establish:	2	EA	
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site - Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993 - Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works - Establishment to site must be confirmed by the Transnet Supervisor before commencement - Delivery address: Clynedera at Houtboshoek +/-20km from Nelspruit towards JHB Contact Jakes & 0834556320 or Sizwe @ 0832962837			
4	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site - Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993	7	AU	

	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works - Establishment to site must be confirmed by the Transnet Supervisor before commencement - Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857			
5	Insurance: - As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site - Operator and Crew with Mobile Crane have to provide competent Certificates include their mental Certificate according OHS ACT, 85 0f 1993 - Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works - Establishment to site must be confirmed by the Transnet Supervisor before commencement - Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857		AU	
6	Accommodation/Transport:	7	DAY	
	- As and when for the period			

		1	1	I	T
	12 to 18June 2015 (Wet rate) 10 hours working day				
	- Provide own security for safe guard the crane				
	and equipment during night time include				
	Accommodation or Transport to and from site				
	- Operator and Crew with Mobile Crane have				
	to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993				
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works		Tu.		
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement	104			
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
7	Accommodation Transport:	7	DAY		
	-As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day				
	- Provide own security for safe guard the crane and equipment during night time				
	include Accommodation or Transport to and from site				
	- Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993				
	- Site investigation before commencement date, determine the area the crane will establish safely on				

			T	T	
	site for execution of works				
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
8	Hire of Rigging/Supervising Crew:	7	DAY		
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day				
	- Provide own security for safe guard the crane and equipment during night time include		Ý,		
	Accommodation or Transport to and from site	Chi			
	- Operator and Crew with Mobile Crane- have to provide competent Certificates include their medical Certificate according OHS ACT, 85 of 1993				
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works				
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
9	Hire of Rigging/Supervising Crew:	7	DAY		
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day				
	- Provide own security for safe guard				
	the crane and equipment during night time				

include			
Accommodation or Transport to and from site			
- Operator and Crew with Mobile Crane have			
to provide competent Certificates include their medical			
Certificate according OHS ACT, 85 0f 1993			
- Site investigation before commencement date,			
determine the area the crane will establish safely on site for execution of works			
- Establishment to site must be confirmed by the			
Transnet Supervisor before commencement		in .	
- Delivery address: Citriodora at Houtboshoek +/-30km	11		
from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe	, 0		
@ 0832962857	1		
10 Overtime: Weekdays:	20	HR	
- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day			
- Provide own security for safe guard the crane			
and equipment turing night time include			
Accommodation or Transport to and from site			
- Operator and Crew with Mobile Crane have			
to provide competent Certificates include their medical			
Certificate according OHS ACT, 85 0f 1993			
- Site investigation before commencement date,			
determine the area the crane will establish safely on			
site for execution of works			
- Establishment to site must be			
confirmed by the Transnet Supervisor before			

	commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
11	Overtime: Weekdays:	20	HR		
	 - As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site 				
	- Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993	ONI	Y"		
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works				
	- Establishment to site must be confirmed by the Transnet Super usor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
12	Overtime: Saturdays:	10	HR		
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard				
	the crane and equipment during night time include Accommodation or Transport to and from site				
	<u> </u>		1	l	1

- Operator and Crew with Mobile Crane have	
to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993	
- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works	
- Establishment to site must be confirmed by the Transnet Supervisor before commencement	
- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857	
13 Overtime: Saturdays: 1 HR	
- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day - Provide own security for safe guard the crane and equipment during mont time include Accommodation of Transport to and from site - Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 Of 1993 - Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works - Establishment to site must be confirmed by the Transnet Supervisor before	
commencement	
- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB	

	Contact Jakes @ 0834556320 or Sizwe @ 0832962857			
14	Overtime: Sundays/Paid public holiday:	20	HR	
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day			
	- Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site			
	 Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993 		Y"	
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works	Oly		
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement			
	- Delivery address Citriodora at Houtboshoek 15-30km from Nelspruk towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857			
15	Overtime: Sundays/Paid public holiday:	20	HR	
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day			
	- Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site			
	- Operator and Crew with Mobile Crane have to provide competent Certificates include their medical			

				•	
	Certificate according OHS ACT, 85 0f 1993				
	- Site investigation before				
	commencement date,				
	determine the area the crane will establish safely on				
	site for execution of works				
	- Establishment to site must be				
	confirmed by the				
	Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km from Nelspruit towards JHB Contact Jakes @ 0834556320 or Sizwe @ 0832962857				
16	Provide own security:	7	PAY		
	-As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day	(II)			
		'O'			
	- Provide own security for safe guard the crane	1			
	and equipment during night time				
	include				
	Accommodation or Transport to and from site				
	- Operator and Crew with Mobile Crane				
	have				
	to provide competent Certificates				
	include their medical Certificate according OHS ACT, 85 0f				
	1993				
	– Site investigation before				
	commencement date,				
	determine the area the crane will				
	establish safely on site for execution of works				
	- Establishment to site must be				
	confirmed by the				
	Transnet Supervisor before commencement				
	- Delivery address: Citriodora at Houtboshoek +/-30km				
	from Nelspruit towards JHB				
	Contact Jakes @ 0834556320 or Sizwe				
	@ 0832962857				
l	•				

17	Provide own security:	7	DAY	
	- As and when for the period 12 to 18June 2015 (Wet rate) 10 hours working day			
	- Provide own security for safe guard the crane and equipment during night time include Accommodation or Transport to and from site			
	- Operator and Crew with Mobile Crane have to provide competent Certificates include their medical Certificate according OHS ACT, 85 0f 1993			
	- Site investigation before commencement date, determine the area the crane will establish safely on site for execution of works	ONI	Y"	
	- Establishment to site must be confirmed by the Transnet Supervisor before commencement			
	- Delivery address: Citriodera at Houtboshoek +/-30km; from Nelspruit towards JHB Contact Jakes @ 0834956320 or Sizwe @ 083296285			

Delivery Lead-Time from date o	purchase order:	[days/weeks]
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Notes to Pricing:

- All Prices must be quoted in South African Rand, exclusive of VAT a)
- To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this price schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being disqualified.
- Please note that should you have offered a discounted price(s), Transnet will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.

Section 3 VENDOR APPLICATION FORM

Respondents are to furnish the following documentation and complete the Vendor Application Form below:

- Original cancelled cheque OR letter from the Respondent's bank verifying banking details [with bank stamp]
- 2. **Certified** copy of Identity Document(s) of Shareholders/Directors/Members [where applicable]
- 3. **Certified** copy of Certificate of Incorporation, CM29 / CM9 [name change]
- 4. **Certified** copy of Share Certificates [CK1/CK2 if CC]
- 5. A letter on the company's letterhead confirm physical and postal addresses
- 6. **Original** valid SARS Tax Clearance Certificate
- 7. Certified copy of VAT Registration Certificate
- 8. **Certified copy** of valid Company Registration Certificate [if applicable]
- 9. A signed letter from your auditor or accountant confirming most recent annual turnover figures

Ventor Application Form

Company	trading name					
Company re	gistered name					
Company R	egistration Num	ber or ID Nun	nber if a S	Sole Proprietor		
Form of entity [$\sqrt{}$	CC	Trust	Pty Ltd	d Limited	Partnership	Sole Proprietor
VAT number	[if registered]					
Company tele	ohone number					
Compa	ny fax number					
Company	email address					
Company w	ebsite address					
Bank name				Branch & Br	anch code	
Account holder				Bank accou	nt number	
Deetal adduses						
Postal address						Code
Physical Address						

								Code	
Contact person									
Designation									
Telephone									
Email									
Annual turnov	er range [last	financial year]		< R5 m		R5 - 35	m	> R35 n	1
	Does your co	mpany provide	P	roducts		Service	es	Botl	n
	ı	Area of delivery	N	lational		Provinci	al	Loca	ı
	Is y	our company a p	ublic or	private e	ntity	Pub	lic	Private	9
Does your	company ha	ve a Tax Directiv	e or IRP	30 Certifi	cate	Ye	es	No)
М	lain product o	r services [e.g. S	Stationery	y/Consult	ing]				
Complete B-BBEE (Ownership De	etails:		.•	1				
% Black ownership		% Bla	ack wom ownersl		\	% Disab	led Black	ownershi)
Does	your compar	ny have a B-BBEE	E certific	ate	Yes			No)
V	What is your E	B-BBEE status [Le	evel X to	9 / Unkn	own]				
How m	nany personne	el does the firm e	employ	Perma	anent		Pa	rt time	
If you are an exist	ting Vendor w	ith Transpet plea	ase comp	lete the l	followii	ng:			
Transnet	t contact per	iol							
	Contact rund	p é r							
Transnet Op	perating Divis	ion							
Duly authorised to sign for and on behalf of Company / Organisation:									
									1
Name				Designa	ation				
Signature					Date				

RFQ FOR THE HIRE AND DELIVERY OF 2X 60 TON MOBILE CRANES FOR THE PERIOD 12 JUNE UNTIL 18 JUNE 2015.

ANNEXURE A: B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form contains general information and serves as a claim for preference points for Broad-Based Black Economic Empowerment [B-BBEE] Status Level of Contribution.

1. INTRODUCTION

- A total of 80/20 preference points shall be awarded for B-BBEE Status Level of Contribution.
- 1.2 Failure on the part of a Bidder to fill in and/or to sign this form and submit a B-BBEE Verification Certificate from a Verification Agendy accredited by the South African Accreditation System [SANAS] or a Registered Auditor approved by the Independent Regulatory Board of Auditors [IRBA] or an accounting Officer as contemplated in the Close Corporation Act [CCA] together with the bid will be interpreted to mean that preference points for B-BBEE Status evel of Contribution are not claimed.
- Transnet reserves the right to require of a Bidder, either before a Bid is adjudicated or 1.3 at any time subsequent to substantiate any claim in regard to preferences, in any manner required by Transnet.

2. GENERAL DEFINITIONS

- "all applicable taxes" include value-added tax, pay as you earn, income tax, unemployment 2.1 insurance fund contributions and skills development levies;
- 2.2 "B-BBEE" means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- 2.3 "B-BBEE status of contributor" means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- 2.4 "Bid" means a written offer in a prescribed or stipulated form in response to an invitation by Transnet for the provision of goods, works or services;
- 2.5 "Broad-Based Black Economic Empowerment Act" means the Broad-Based Black Economic Empowerment Act, 2003 [Act No. 53 of 2003];
- 2.6 "comparative price" means the price after the factors of a non-firm price and all unconditional discounts that can utilised have been taken into consideration;
- 2.7 "consortium or joint venture" means an association of persons for the purpose of combining their expertise, property, capital, efforts, skills and knowledge in an activity for the execution of a

contract;

- 2.8 "contract" means the agreement that results from the acceptance of a bid by Transnet;
- 2.9 "EME" means any enterprise with an annual total revenue of R5 [five] million or less as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue of R10 [ten] million or less as per the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928;
- 2.10 "firm price" means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs and excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
- 2.11 "functionality" means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bacter;
- 2.12 "non-firm prices" means all prices other than "from prices;
- 2.13 "person" includes reference to a juristic person;
- 2.14 "QSE" means any enterprise with an annual total revenue between R5 [five] million and R35 [thirty five] million as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue of between R10 [ten] million and R50 [fifty] million as per the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928
- 2.15 "**rand value**" means the total estimated value of a contract in South African currency, calculated at the time of bickinvitations, and includes all applicable taxes and excise duties;
- 2.16 **"subcontract"** means the primary contractor's assigning or leasing or making out work to, or employing another person to support such primary contractor in the execution of part of a project in terms of the contract;
- 2.17 **"total revenue"** bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Empowerment Act and promulgated in the Government Gazette on 9 February 2007;
- 2.18 **"trust"** means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- 2.19 **"trustee"** means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

- 3.1 The Bidder obtaining the highest number of total points for the evaluation criteria as enumerated in Section 2 of the RFP will be awarded the contract, unless objective criteria justifies the award to another bidder.
- 3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking

- into account all factors of non-firm prices and all unconditional discounts.
- 3.3 Points scored will be rounded off to 2 [two] decimal places.
- 3.4 In the event of equal points scored, the Bid will be awarded to the Bidder scoring the highest number of preference points for B-BBEE.
- 3.5 However, when functionality is part of the evaluation process and two or more Bids have scored equal points including equal preference points for B-BBEE, the successful Bid will be the one scoring the highest score for functionality.
- 3.6 Should two or more Bids be equal in all respect, the award shall be decided by the drawing of lots.

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4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

4.1 In terms of the Preferential Procurement Regulations, 2011, preference points shall be awarded to a Bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of	Number of Points
Contributor	[Maximum 20]
1	20
2	18
3	16
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

- 4.2 Bidders who qualify as EMEs in terms of the 2007 version of the Codes of Good Practice must submit a certificate issued by an Accounting Officer is contemplated in the CCA or a Verification Agency accredited by SANAS or a Registered Auditor. Registered auditors do not need to meet the prerequisite for IRBA's approval for the purpose of conducting verification and issuing EME's with B-BBEE Status Level Certificates.
- 4.3 Bidders who qualify as EMEs in terms of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928 are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R10 million or less and the entity's Level of Black ownership.
- In terms of the 2007 ersion of the Codes of Good Practice, Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- 4.5 In terms of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928, Bidders who qualify as QSEs are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R50 million or less and the entity's Level of Black ownership. Large enterprises must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- 4.6 A trust, consortium or joint venture will qualify for points for its B-BBEE status level as a legal entity, provided that the entity submits its B-BBEE status level certificate.
- 4.7 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.
- 4.8 Tertiary institutions and public entities will be required to submit their B-BBEE status level certificates in terms of the specialised scorecard contained in the B-BBEE Codes of Good Practice.

- 4.9 A person will not be awarded points for B-BBEE status level if it is indicated in the Bid documents that such a Bidder intends subcontracting more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not qualify for at least the same number of points that such a Bidder qualifies for, unless the intended subcontractor is an EME that has the capability and ability to execute the subcontract.
- 4.10 A person awarded a contract may not subcontract more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is subcontracted to an EME that has the capability and ability to execute the subcontract.
- Bidders are to note that in terms of paragraph 2.6 of Statement 000 of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928, any representation made by an entity about its B-BBEE compliance must be supported by suitable evidence or documentation. As such, Transnet reserves the right to request such evidence or documentation from Bidders in order to verify any B-BBEE recognition claimed.

5.	B-BBFF	STATUS	ΔND	SUBCONTI	RACTING
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B-BB	EE STAT	US AND SUBCONTRACTING	
5.1	Bidders	who claim points in respect of B BBEE Status Level of Contribution	n must
	complete	e the following:	
	B-BBEE St	status Level of Contributor = [maximum of 20 points]	
	Note: Poi	ints claimed in respect of the paragraph 5.1 must be in accordance with th	ne table
		in paragraph 4.1 above and must be substantiated by means of a B-BBEE ce	
		a Verification Agency accredited by SANAS or a Registered Auditor approved by	
	a sworn a	affidavit in the case of an EME or QSE.	
5.2	Subcont	racting	
	Will any	or on of the contract be subcontracted? YES/NO [delete which is not applicable]	
	If YES, inc	dicate:	
	(i)	What percentage of the contract will be subcontracted?	%
	(ii)	The name of the subcontractor	
	(iii)	The B-BBEE status level of the subcontractor	
	(iv)	Is the subcontractor an EME?	S/NO
5.3	Declaration	on with regard to Company/Firm	
	(i)	Name of Company/Firm	
	(ii)	VAT registration number	ı
	(iii)	Company registration number	
	(iv)	Type of Company / Firm [TICK APPLICABLE BOX]	
		□Partnership/Joint Venture/Consortium	
		☐One person business/sole propriety	
		□Close Corporations	

	□Company (Pty) Ltd		
(v)	Describe Principal Business Activities		
(vi)	Company Classification [TICK APPLICABLE BOX]		
	□Manufacturer		
	□Supplier		
	□ Professional Service Provider		
(vii)	□Other Service Providers, e.g Transporter, etc Total number of years the company/firm has been in		
	business		

BID DECLARATION

I/we, the undersigned, who warrants that he/sne is duly authorised to do so on behalf of the company/firm, certify that points claimed based on the B-BBEE status level of contribution indicated in paragraph 4 above, qualifies the company/firm for the preference(s) shown and I / we acknowledge that:

- (i) The information furnished is true and correct.
- (ii) In the event of a contract being awarded as a result of points claimed as a result of point
- (iii) If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, Transnet may, in addition to any other remedy it may have:
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
 - (d) restrict the Bidder or contractor, its shareholders and directors, and/or associated entities, or only the shareholders and directors who acted in a fraudulent manner, from obtaining business from Transnet for a period not exceeding 10 years, after the *audi alteram partem* [hear the other side] rule has been applied; and/or

(e) forward the matter for criminal prosecution.

	WITNESSES:		
l.			
		SIGNATURE OF BIDDER	
2.			
	DATE: COMPANY NAME:		
	ADDRESS:		

"PREVIEW COPY ONLY"