

ANNEXURE A: TECHNICAL SUBMISSION QUESTIONNAIRE

Previous /Current Relevant Experience

Note to tenderers:

Tenderers are required to demonstrate their experience in the supply and delivery of similar goods and to this end shall supply a sufficiently detailed reference list (minimum 2) with contact details of previous and /or existing customers. Must have supplied and erected similar Transformer successfully. The experience will be based on the number of Transformer project deliverables

List of References

Name of Company	Contact Person & Contact details	Number of Transformers supplied	Size of Transformer Supplied	Contract Period

"PREVIEW COPY ONLY"

Signed _____

Date _____

Name _____

Position _____

Tenderer _____



ANNEXURE B

SBD 6.2

DECLARATION CERTIFICATE FOR LOCAL PRODUCTION AND CONTENT FOR DESIGNATED SECTORS

This Standard Bidding Document (SBD) must form part of all bids invited. It contains general information and serves as a declaration form for local content (local production and local content are used interchangeably).

Before completing this declaration, bidders must study the General Conditions, Definitions, Directives applicable in respect of Local Content as prescribed in the Preferential Procurement Regulations, 2011, the South African Bureau of Standards (SABS) approved technical specification number SATS 1286:2011 (Edition 1) and the Guidance on the Calculation of Local Content together with the Local Content Declaration Templates [Annex C (Local Content Declaration: Summary Schedule), D (Imported Content Declaration: Supporting Schedule to Annex C) and E (Local Content Declaration: Supporting Schedule to Annex C)].

1. GENERAL CONDITIONS

- 1.1. Preferential Procurement Regulations, 2011 (Regulation 9) makes provision for the promotion of local production and content.
- 1.2. Regulation 9.(1) prescribes that in the case of designated sectors, where in the award of bids local production and content is of critical importance, such bids must be advertised with the specific bidding condition that only locally produced goods, services or works or locally manufactured goods, with a stipulated minimum threshold for local production and content will be considered.
- 1.3. Where necessary for bids referred to in paragraph 1.2 above, a two stage bidding process may be followed, where the first stage involves a minimum threshold for local production and content and the second stage price and B-BBEE.
- 1.4. A person awarded a contract in relation to a designated sector, may not sub-contract in such a manner that the local production and content of the overall value of the contract is reduced to below the stipulated minimum threshold.
- 1.5. The local content (LC) expressed as a percentage of the bid price must be calculated in accordance with the SABS approved technical specification number SATS 1286: 2011 as follows:

$$LC = [1 - x / y] * 100$$

Where

x is the imported content in Rand

y is the bid price in Rand excluding value added tax (VAT)

Prices referred to in the determination of x must be converted to Rand (ZAR) by using the exchange rate published by South African Reserve Bank (SARB) at 12:00 on the date of advertisement of the bid as indicated in paragraph 4.1 below.

The SABS approved technical specification number SATS 1286:2011 is accessible on [http://www.thedti.gov.za/industrial development/ip.jsp](http://www.thedti.gov.za/industrial%20development/ip.jsp) at no cost.

- 1.6. A bid may be disqualified if –
- a) this Declaration Certificate and the Annex C (Local Content Declaration: Summary Schedule) are not submitted as part of the bid documentation; and
 - b) the bidder fails to declare that the Local Content Declaration Templates (Annex C, D and E) have been audited and certified as correct.

2. DEFINITIONS

- 2.1. **"bid"** includes written price quotations, advertised competitive bids or proposals;
- 2.2. **"bid price"** price offered by the bidder, excluding value added tax (VAT);
- 2.3. **"contract"** means the agreement that results from the acceptance of a bid by an organ of state;
- 2.4. **"designated sector"** means a sector, sub-sector or industry that has been designated by the Department of Trade and Industry in line with national development and industrial policies for local production, where only locally produced services, works or goods or locally manufactured goods meet the stipulated minimum threshold for local production and content;
- 2.5. **"duly sign"** means a Declaration Certificate for Local Content that has been signed by the Chief Financial Officer or other legally responsible person nominated in writing by the Chief Executive, or senior member / person with management responsibility (close corporation, partnership or individual).
- 2.6. **"imported content"** means that portion of the bid price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or its subcontractors) and which costs are inclusive of the costs abroad (this includes labour or intellectual property costs), plus freight and other direct importation costs, such as landing costs, dock duties, import duty, sales duty or other similar tax or duty at the South African port of entry;
- 2.7. **"local content"** means that portion of the bid price which is not included in the imported content, provided that local manufacture does take place;
- 2.8. **"stipulated minimum threshold"** means that portion of local production and content as determined by the Department of Trade and Industry; and
- 2.9. **"sub-contract"** means the primary contractor's assigning, leasing, making out work to, or employing another person to support such primary contractor in the execution of part of a project in terms of the contract.

3. The stipulated minimum threshold(s) for local production and content (refer to annex a of sats 1286:2011) for this bid is/are as follows:

<u>Description of services, works or goods</u>	<u>Stipulated minimum threshold</u>
_____	_____ %
_____	_____ %
_____	_____ %

4. Does any portion of the services, works or goods offered have any imported content?
(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

- 4.1 If yes, the rate(s) of exchange to be used in this bid to calculate the local content as prescribed in paragraph 1.5 of the general conditions must be the rate(s) published by SARB for the specific currency at 12:00 on the date of advertisement of the bid.

The relevant rates of exchange information is accessible on www.reservebank.co.za.

Indicate the rate(s) of exchange against the appropriate currency in the table below (refer to Annex A of SATS 1286:2011):

Currency	Rates of exchange
US Dollar	
Pound Sterling	
Euro	
Yen	
Other	

NB: Bidders must submit proof of the SARB rate (s) of exchange used.

5. Were the Local Content Declaration Templates (Annex C, D and E) audited and certified as correct?
(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

- 5.1. If yes, provide the following particulars:

- (a) Full name of auditor:
- (b) Practice number:
- (c) Telephone and cell number:
- (d) Email address:

(Documentary proof regarding the declaration will, when required, be submitted to the satisfaction of the Accounting Officer/Accounting Authority)

6. Where, after the award of a bid, challenges are experienced in meeting the stipulated minimum threshold for local content the dti must be informed accordingly in order for the dti to verify and in consultation with the dti/A provide directives in this regard.

**LOCAL CONTENT DECLARATION
(REFER TO ANNEX B OF SATS 1286:2011)**

LOCAL CONTENT DECLARATION BY CHIEF FINANCIAL OFFICER OR OTHER LEGALLY RESPONSIBLE PERSON NOMINATED IN WRITING BY THE CHIEF EXECUTIVE OR SENIOR MEMBER/PERSON WITH MANAGEMENT RESPONSIBILITY (CLOSE CORPORATION, PARTNERSHIP OR INDIVIDUAL)

IN RESPECT OF BID NO.

ISSUED BY: (Procurement Authority / Name of Institution):
.....

NB

- 1 The obligation to complete, duly sign and submit this declaration cannot be transferred to an external authorized representative, auditor or any other third party acting on behalf of the bidder.

2 Guidance on the Calculation of Local Content together with Local Content Declaration Templates (Annex C, D and E) is accessible on http://www.thedti.gov.za/industrial_development/ip.jsp. Bidders should first complete Declaration D. After completing Declaration D, bidders should complete Declaration E and then consolidate the information on Declaration C. **Declaration C should be submitted with the bid documentation at the closing date and time of the bid in order to substantiate the declaration made in paragraph (c) below.** Declarations D and E should be kept by the bidders for verification purposes for a period of at least 5 years. The successful bidder is required to continuously update Declarations C, D and E with the actual values for the duration of the contract.

I, the undersigned, (full names),
do hereby declare, in my capacity as
of(name of bidder entity), the
following:

- (a) The facts contained herein are within my own personal knowledge.
- (b) I have satisfied myself that:
 - (i) the goods/services/works to be delivered in terms of the above-specified bid comply with the minimum local content requirements as specified in the bid, and as measured in terms of SATS 1286:2011; and
 - (ii) the declaration templates have been audited and certified to be correct.
- (c) The local content percentage (%) indicated below has been calculated using the formula given in clause 3 of SATS 1286:2011, the rates of exchange indicated in paragraph 4.1 above and the information contained in Declaration D and E which has been consolidated in Declaration C:

Bid price, excluding VAT (y)	R
Imported content (x), as calculated in terms of SATS 1286:2011	R
Stipulated minimum threshold for local content (paragraph 3 above)	
Local content %, as calculated in terms of SATS 1286:2011	

If the bid is for more than one product, the local content percentages for each product contained in Declaration C shall be used instead of the table above.
The local content percentages for each product has been calculated using the formula given in clause 3 of SATS 1286:2011, the rates of exchange indicated in paragraph 4.1 above and the information contained in Declaration D and E.

- (d) I accept that the Procurement Authority / Institution has the right to request that the local content be verified in terms of the requirements of SATS 1286:2011.
- (e) I understand that the awarding of the bid is dependent on the accuracy of the information furnished in this application. I also understand that the submission of incorrect data, or data that are not verifiable as described in SATS 1286:2011, may result in the Procurement Authority / Institution imposing any or all of the remedies as provided for in Regulation 13 of the Preferential Procurement Regulations, 2011 promulgated under the Preferential Policy Framework Act (PPPFA), 2000 (Act No. 5 of 2000).

SIGNATURE: _____ **DATE:** _____
WITNESS No. 1 _____ **DATE:** _____
WITNESS No. 2 _____ **DATE:** _____

Annex D

Imported Content Declaration - Supporting Schedule to Annex C

(D1) Tender No: _____
 (D2) Tender description: _____
 (D3) Designated Products: _____
 (D4) Tender Authority: _____
 (D5) Tendering Entity name: _____
 (D6) Tender Exchange Rate: _____

Note: VAT to be excluded from all calculations

EU R 9.00 GSP R 12.90

A. Exempted imported content

Tender item no's	Description of imported content	Local supplier	Overseas Supplier	Calculation of imported content					Summary		
				Foreign currency value as per Commercial Invoice	Tender Exchange Rate	Local value of imports	Freight costs port of entry	All locally incurred landing costs & duties	Total landed cost excl VAT	Tender Qty	Exempted imported value
(D7)	(D8)	(D9)	(D10)	(D11)	(D12)	(D13)	(D14)	(D15)	(D16)	(D17)	(D18)
										(D19) Total exempt imported value	R 0

This total must correspond with Annex C - C 21

B. Imported directly by the Tenderer

Tender item no's	Description of imported content	Unit of measure	Overseas Supplier	Calculation of imported content					Summary		
				Foreign currency value as per Commercial Invoice	Tender Rate of Exchange	Local value of imports	Freight costs to port of entry	All locally incurred landing costs & duties	Total landed cost excl VAT	Tender Qty	Total imported value
(D20)	(D21)	(D22)	(D23)	(D24)	(D25)	(D26)	(D27)	(D28)	(D29)	(D30)	(D31)
										(D32) Total imported value by tenderer	R 0

C. Imported by a 3rd party and supplied to the tenderer

Description of imported content	Unit of measure	Local supplier	Overseas Supplier	Calculation of imported content					Summary		
				Foreign currency value as per Commercial Invoice	Tender Rate of Exchange	Local value of imports	Freight costs to port of entry	All locally incurred landing costs & duties	Total landed cost excl VAT	Quantity imported	Total imported value
(D33)	(D34)	(D35)	(D36)	(D37)	(D38)	(D39)	(D40)	(D41)	(D42)	(D43)	(D44)
										(D45) Total imported value by 3rd party	R 0

D. Other foreign currency payments

Type of payment	Local supplier making the payment	Overseas beneficiary	Calculation of foreign currency payments		Summary of payments
			Foreign currency value paid	Tender Rate of Exchange	
(D46)	(D47)	(D48)	(D49)	(D50)	(D51)

(D52) Total of foreign currency payments declared by tenderer and/or 3rd party

(D53) Total of imported content & foreign currency payments - (D32), (D45) & (D52) above

Signature of tenderer from Annex B

Date:

This total must correspond with Annex C - C 23

Annex E

Local Content Declaration - Supporting Schedule to Annex C

(E1)	Tender No.	
(E2)	Tender description:	
(E3)	Designated products:	
(E4)	Tender Authority:	
(E5)	Tendering Entity name:	

Note: VAT to be excluded from all calculations

Local Products (Goods, Services and Works)	Description of items purchased (E6)	Local suppliers (E7)	Value (E8)
	(E9) Total local products (Goods, Services and Works)		R 0

(E10) **Manpower costs** (Tenderer's manpower cost) R 0

(E11) **Factory overheads** (Rental, depreciation & amortisation, utility costs, consumables etc.) R 0

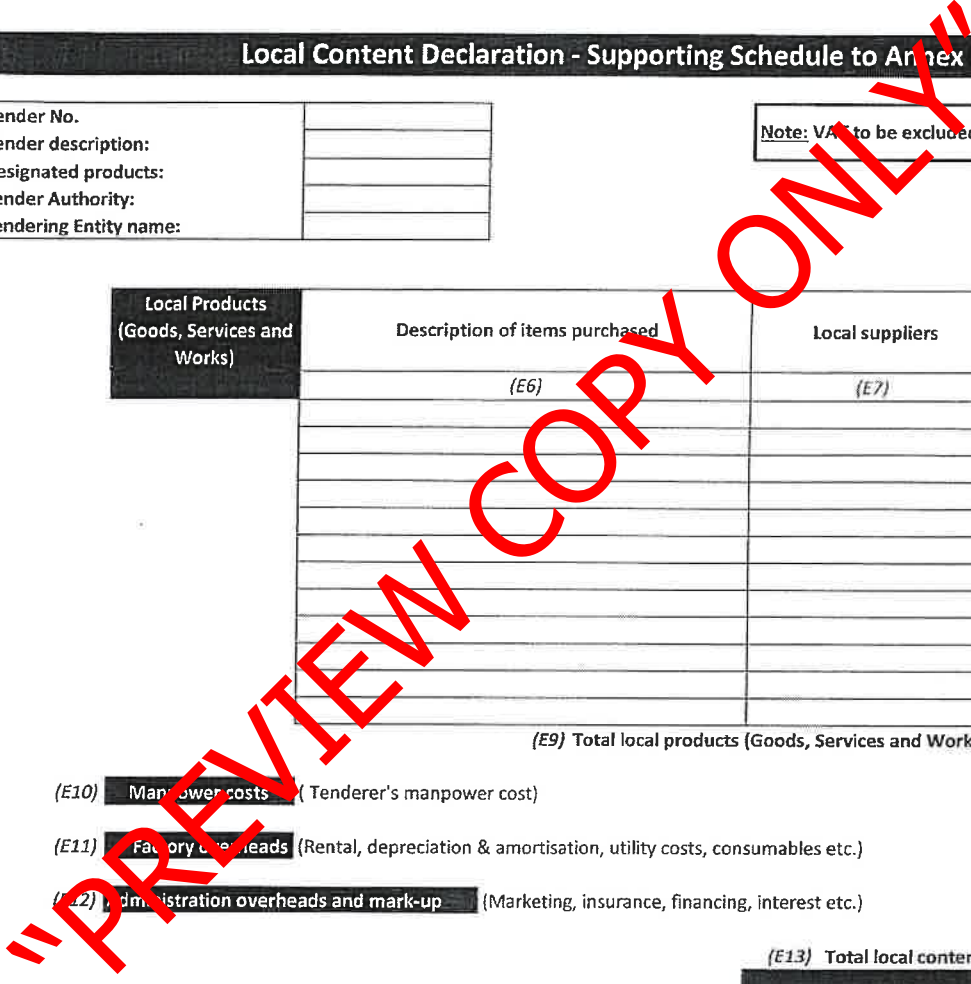
(E12) **Administration overheads and mark-up** (Marketing, insurance, financing, interest etc.) R 0

(E13) **Total local content** R 0

This total must correspond with Annex C - C24

Signature of tenderer from Annex B _____

Date: _____



ANNEXURE F

TECHNICAL COMPLIANCE SHEET -RME CPT 403/2016

SUPPLY AND DELIVERY OF 50KV/230V TRANSFORMER

The compliance response is to contain ONLY the following statements, **“Comply”**, or **“Do not comply”**.

Noted is to be applied against statements and either of the other responses for all other clauses. Where either “Partial Compliance” is inserted, remarks as to the reason for the deviation from the requirement is required

Main Specification: Annexure G 1			
Item	Specification Clause No.	Compliance Response	Explanation / Deviation / Reason
1	Clause 2.1		
2	Clause 3.1		
3	Clause 3.2		
4	Clause 3.3		
5	Clause 3.4		
6	Clause 3.5		
7	Clause 4.0		
8	Clause 5.1		
9	Clause 6.1		
10	Clause 7.1		
11	Clause 8.1		
12	Clause 8.2		
13	Clause 9.1		
14	Clause 9.2		
15	Clause 9.3		
16	Clause 9.4		
17	Clause 10.1		



18	Clause 10.2		
19	Clause 11.1		
20	Clause 1.1		
Main Specification: Annexure G 2		RME CPT 403/2016	
Item	Specification Clause No.	Compliance Response	Explanation / Deviation / Reason
21	Clause 2.1		
21	Clause 3.0		
22	Clause 3.1		
23	Clause 3.2		
24	Clause 4.0		
25	Clause 5.1		
26	Clause 5.2		
27	Clause 5.3		
28	Clause 5.4		
29	Clause 5.4		
30	Clause 5.5		
31	Clause 6.1		
32	Clause 6.2		
33	Clause 7.1		
34	Clause 7.1.1		
35	Clause 7.2.1		
36	Clause 7.2.3		
37	Clause 7.2.4		
38	Clause 7.2.5		
39	Clause 8.2.1		
40	Clause 8.2.2		



41	Clause 8.2.3		
42	Clause 9.0		
43	Clause 9.1		
44	Clause 9.2		
45	Clause 9.3		
46	Clause 9.4		
47	Clause 9.5		
48	Clause 10.1		
49	Clause 11.1		
50	Clause 11.1		

Respondent's Signature

Date & Company Stamp

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A Division of Transnet SOC Limited

RAIL NETWORK SPECIFICATION

50kV/230V AUXILIARY SUPPLIES FOR THE IRON ORE LINE

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RAIL NETWORK SPECIFICATION

50kV/230V AUXILIARY SUPPLIES FOR THE IRON ORE LINE

"PREVIEW COPY ONLY"

Author: Engineering Technician
Infrastructure (Electrical) A. Klopper

Checked: Engineer-in-Training
(Electrical) T Govender

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Infrastructure (Electrical) D Bowers

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Date: 22 April 2015

Circulation Restricted To: Unrestricted

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1.0 INTRODUCTION

- 1.1 50kV/230V auxiliary supplies are used on the iron Ore Line to supply loads such as signalling, communication, track side monitoring etc. The 861km Iron Ore railway line is not equipped with a dedicated auxiliary feeder line and a unique 50kV/230V supply from the 50kV traction supply is required.

2.0 SCOPE

- 2.1 All relevant components required to complete a single phase 50kV/230V auxiliary supply. This specification can be used for power ratings of 25kVA and 50kVA.

3.0 STANDARD PUBLICATIONS

- 3.1 Unless otherwise specified all materials and equipment supplied shall comply with relevant IEC, SANS or TFR publications where applicable.
- 3.2 BBB0845: Requirements for metal oxide surge arresters without gaps for AC system in accordance with SANS 60099-4.
- 3.3 BBB7842: Specification - Outdoor high voltage, alternating current disconnects combined with earth switches.
- 3.4 BBD8055: Specification - 25 & 50 kV Fuse Cut-Outs.
- 3.5 BBD9751: Specification - Supply and installation of galvanised steel palisade fence at various sites countrywide – phase 2c.

4.0 DRAWINGS

- ERD-SK-192: Positioning of Track Switch and Transformer Structure.
- ERD-TMGB-3: 50kV/230V Transformer Structure.
- ERD-TMGB-1: 50kV Switch Structure
- ERD-SK-183: Assembly of 50kV Switch on Structure
- ERD-SK-182: Assembly of 50kV Switch on Structure

5.0 SERVICE CONDITIONS

- 5.1 All equipment shall be designed for operation under the following service conditions:

Altitude to 1800 m above sea level;

- Ambient temperature: Minus 5° C to plus 45° C
- Relative humidity: As high as 86%
- Air pollution: Heavily saline laden

6.0 50kV/230V TRANSFORMER

- 6.1 Refer to specification BBB3204 and addendum (for use on Transnet's 50KV infrastructure).

7.0 50kV/230 TRANSFORMER STRUCTURE

- 7.1 Transformer Structure to be manufactured and assembled as per attached drawing ERD-TMGB-3.

8.0 50kV FUSE

- 8.1 This will be a 50kV cut-out (Drop-Out) fuse as per specification BBD8055 Version 2.

- 8.2 Expansion use links to be 72kV 2A as used for Transformer protection.

9.0 SURGE ARRESTOR FOR 50kV EQUIPMENT

- 9.1 Surge arrester to be a Metal Oxide Surge Arrester and must comply with specification BBB0845. Preferred type SBK 84/10.2 with base from Surge-Tek or similar to be used.

- 9.2 Mount Surge Arrester as per Drawing ERD-TMGB-3. Bracket (Item 10) to be adjusted as required.

- 9.3 Install surge counter DCC M from Surge-Tek or similar with leakage current meters at eye-level on a horizontal support.

- 9.4 A clearance of at least 50 mm must be allowed between the live terminal of the counter and earth.

10.0 50kV SWITCH AND STRUCTURE

- 10.1 50kV (88kV nominal phase to phase voltage) Switch and Structure to be manufactured and assembled as per attached drawing ERD-TMGB-1, ERD-SK-182 and ERD-SK-183.

- 10.2 Switch and Structure to comply with specification BBB7842.

11.0 GENERAL

- 11.1 All electrical equipment and structures to be secured by a palisade structure as per specification BBD9751. All structures supporting High Voltage Electrical Equipment must be equipped with anti-climbing devices.

END



TECHNOLOGY MANAGEMENT

SPECIFICATION

MEDIUM VOLTAGE DISTRIBUTION AND SUPPLY TRANSFORMERS IN ACCORDANCE WITH SANS 780. (For nominal system voltages up to 33 kV)

Author:	Chief Engineering Technician Technology Management	D.O.Schulz
Approved:	Senior Engineer Technology Management	L.O.Borchard
Authorised:	Principal Engineer Technology Management	W.A.Coetzee

[Handwritten signatures]

Date: 29th April 2008

Circulation Restricted To:

Transnet Freight Rail – Chief Engineer Infrastructure
- Technology Management

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1.0**SCOPE**

- 1.1 This specification covers Transnet freight rail's requirements for single phase and three phase oil immersed type distribution and supply transformers for indoor or outdoor use in accordance with SANS 780.

2.0 BACKGROUND

- 2.1 Distribution and supply transformers are used on Transnet freight rail for the following applications:
- Supply transformers are used as step down transformers for power distribution of the 11kV and 6,6 kV Transnet freight rail reticulation systems and the 11 kV and 6,6 kV transmission line network.
 - Distribution transformers are used as step down transformers for the provision of power at a required voltage.
 - Distribution transformers are also used on the 11 kV and 6,6 kV transmission line system for step down supply points to signals relay rooms and for auxiliary supplies to traction substations etc.

3.0 STANDARDS AND PUBLICATIONS

The transformer shall comply with all relevant requirements of the latest edition of the specifications as listed in SANS 780.

3.1 SOUTH AFRICAN NATIONAL STANDARDS

SANS 121	Hot-dip Galvanized coatings for fabricated iron or steel articles.
SANS 780	Distribution Transformer.
SANS 1091	National colour standard.
SANS 9001	Quality Management systems – Requirements.

3.2 TRANSNET FREIGHT RAIL

CEE.0224.	Drawings, Catalogues, Instruction Manuals and Spares lists for Electrical Equipment supplied under contract.
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4.0 APPENDICES

The following appendices form an integral part of this specification:

Appendix 1:	Schedule of requirements.
Appendix 2:	Information provided by the tenderers.

5.0 TENDERING PROCEDURE

- 5.1 Tenderers shall indicate clause by clause compliance with the specification. This shall take the form of a separate document listing all the specifications clause numbers indicating the individual statement of compliance or non-compliance.
- 5.2 A statement of non-compliance shall be motivated by the tenderer.
- 5.3 Tenderers shall complete Appendix B. "Information to be provided by tenderers."
- 5.4 Tenderers shall submit descriptive literature consisting of detailed technical specifications, general constructional details and principal dimensions, together with clear illustrations of the equipment offered.
- 5.5 Failure to comply with clauses 5.1, 5.2, 5.3 and 5.4 could preclude a tender from consideration.

6.0 SERVICE CONDITIONS.

The transformers shall be designed to operate under the following conditions.

6.1 ATMOSPHERIC CONDITIONS

Altitude:	0 to 1800m above sea level.
Ambient temperature:	-5°C to +45 °C.
Relative humidity:	10% to 90%.
Lightning Conditions:	12 ground flashes per square kilometre per annum.
Pollution:	Heavily salt laden or polluted with smoke from industrial sources.

6.2 ELECTRICAL CONDITIONS

Frequency:	The AC high voltage supply will normally be supplied by Eskom. The frequency will be 50 ± 2.5 Hz.
Harmonics:	For the supply transformers installed at the traction substations to supply power to the 11 kV and 6,6 kV transmission line systems, it can be expected that the low voltage winding of such transformers shall be subjected to the total voltage harmonic distortion of up to 27%. Distribution transformers that are used for step down points on the 11 kV and 6,6 kV transmission line systems to step down voltages to 400 V/ 230 V for signal relay rooms, auxiliary supplies to traction substations or any other application shall be subjected to the total voltage harmonic distortion of up to 27%.
No of Phases:	Three phase systems.

7.0 TECHNICAL REQUIREMENTS**7.1 CONSTRUCTIONAL REQUIREMENTS**

7.1.1 The "schedule of requirements" Appendix 1 shall determine the structural requirement of the transformers.

7.2 PAINTING AND CORROSIVE PROTECTION.

7.2.1 The corrosion protection and coatings both interior and external surfaces shall be in accordance with Clause 4.17 of SANS 780 and shall be suitable for coastal and heavily polluted conditions.

7.2.2 The transformer radiators shall be hot dipped galvanized in accordance with SANS 121 for coastal and heavily polluted conditions and be painted.

7.2.3 Internal surfaces of the conservator tank above oil level including the tank shall be protected from corrosion by varnishing, priming or painting as specified in clause 4.17.2 for coatings of interior services of SANS 780.

7.2.4 The conservator tank where required shall be painted white.

7.2.5 The finished external coats of paint of the transformer tank shall match the colour G12 for grey as specified in SANS 1091.

8.0 DRAWINGS AND MAINTENANCE MANUALS

8.1 Drawings, instruction manuals and spares lists shall be supplied in accordance with Transnet freight rail's specification CEE.0224.

- 8.2 Three copies of each of the following drawings shall be submitted to the responsible project manager for approval within 7 days of the order being placed.
- 8.2.1 Dimension drawings showing external arrangements of transformer.
- 8.2.2 External wiring diagrams for the transformer.
- 8.2.3 Vector diagram and rating plate.
- 9.0 INSPECTION AND TESTS**
- 9.1 Transnet freight rail reserves the right to carry out inspection and any tests on the equipment at the works of the supplier/ manufacturer.
- 9.2 Arrangements must be made timeously with the Senior Engineer, Technology Management for inspections and tests prior to delivery.
- 9.3 All routine tests shall be carried out in accordance to SANS 780.
- 9.4 These tests shall be carried out at the manufacturers premises and shall be witnessed by Transnet freight rail's Quality Assurance staff.
- 9.5 Type test certificates for the same type of transformers with the validity of five years or less must be made available.
- 10.0 QUALITY ASSURANCE**
- 10.1 The supplier must indicate what steps have been taken to implement a quality assurance system in terms of SANS 9001.
- 11.0 GUARANTEE AND DEFECTS**
- 11.1 The contractor shall guarantee the transformer and accept liability for maker's defects, which may appear in design, materials and workmanship.
- 11.2 The guarantee period for the transformer shall expire after a period of 12 months commencing on the date of commissioning of the equipment.

END

APPENDIX 1

**SCHEDULE OF REQUIREMENTS
(To be completed by client)**

SYSTEM DETAIL

- 1.0 Transformer required for: _____ substation/location
- 2.0 Nominal system voltage: _____ kV
- 3.0 Number of phases: _____
- 4.0 Frequency: _____ Hz
- 5.0 Neutral point effectively earthed: _____ Yes/No

TRANSFORMER DETAIL

- 1.0 Type of transformer: Outdoor: _____ Indoor: _____
- 2.0 Number of phases: Single phase: _____ Three phase: _____
- 3.0 Rated power: _____ kVA
- 4.0 Impedance percentage %: _____
- 5.0 Primary voltage rating: _____ kV
- 6.0 Secondary voltage rating: _____ kV
- 7.0 Vector group: _____

TANK TYPE

- 1.0 Free-breathing _____ Yes/No
- 2.0 Sealed (Transformer main tank cover joint shall be welded) _____ Yes/No

FITTINGS REQUIRED

- 1.0 Conservator with oil level indication. _____ Yes/No
- 2.0 Silicone breather _____ Yes/No
- 3.0 Gas and oil actuating relay with test and sample valves _____ Yes/No
- 4.0 Main tank drain valve _____ Yes/No
- 5.0 Indicating thermometer
- 5.1 Oil temperature _____ Yes/No
- 5.2 Winding temperature indication _____ Yes/No
- 6.0 Radiators. _____ Yes/No
- 7.0 Auxiliary wiring terminal box _____ Yes/No
- 8.0 Neutral current transformer required _____ Yes/No
- 8.1 Ratio: _____

- 8.2 Class: _____
- 8.3 VA Rating: _____
- 9.0 Off circuit tap switch required Yes/No
- 9.1 Number of tap positions: _____
- 10.0 Bushings required: Outdoor: _____ Indoor: _____
 - High voltage side Yes/No
 - Low voltage side Yes/No
- 11.0 Cable box required Yes/No
 - Number and types of cables per phase
 - High voltage side: _____
 - Low voltage side: _____
- 12.0 Neutral required
 - High voltage side Yes/No
 - Low voltage side Yes/No
 - Number and types of cables per neutral: _____
- 13.0 Mountings
 - 13.1 Pole mounting Yes/No
 - 13.2 Platform mounting Yes/No
 - 13.3 Flat underbase Yes/No
 - 13.4 Skid underbase Yes/No
 - 13.5 Wheels and axles Yes/No
 - 13.6 Lifting lugs Yes/No
 - 13.7 Jacking pads Yes/No
- 14.0 Dimensions (if critical)
 - Length: _____ mm. Breadth: _____ mm. Height: _____ mm
- 15.0 Special requirements: _____

END

APPENDIX 2

INFORMATION TO BE PROVIDED BY TENDERERS

- 1.0 GENERAL**
- 1.1 Manufacturers name: _____
- 2.0 TRANSFORMER DETAIL**
- 1.0 Type of transformer: Outdoor: _____ Indoor: _____
- 2.0 Number of phases: Single phase: _____ Three phase: _____
- 3.0 Rated power: _____ kVA
- 4.0 Impedance (percentage) %: _____
- 5.0 Primary voltage rating: _____ kV
- 6.0 Secondary voltage rating: _____ kV
- 7.0 Tapping Switch:
No of positions: _____ % steps: _____
- 8.0 Vector group: _____
- 9.0 Free Breathing Yes/No
- 10.0 Sealed Yes/No
- 11.0 Welded cover Yes/No
- 12.0 Method of Cooling: _____
- 13.0 Overall dimensions: Length _____ mm. Breadth _____ mm. Height _____ mm
- 14.0 Winding material: HV _____ LV _____
- 15.0 Mass of core and windings: _____ kg
- 16.0 Oil capacity: _____ (Litres)
- 17.0 Mass of transformer complete with oil: _____ kg
- 18.0 HV end turns insulation reinforced Yes/No
- 19.0 Type of breather and dehydrating agent: _____
- 20.0 The following information refers to the transformer when connected on the principal tapping and appropriate reference temperature for the class of insulation used.
- 20.1 Iron loss (Watts): _____
- 20.2 Copper loss at full load: _____ at _____ °C
- 20.3 Total load losses (Watts): _____ at _____ °C
- 20.4 Impedance at full load (percentage) _____ Z _____ X
- 20.5 Regulation at full load at: 1.0 PF _____ Percent, 0.8 PF _____ Percent at _____ °C

20.6 Efficiency at full load at: 1.0 PF _____ Percent, 0.8 PF _____ Percent at _____ °C

20.7 Temperature rise at rated voltage and power of:

Windings: _____ °C

Top oil: _____ °C

END

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TFR, a division of

TRANSNET SOC LTD

Registration Number 1990/000900/30

[hereinafter referred to as **Transnet**]

REQUEST FOR QUOTATION [RFQ] NO. RME CPT 403/2016

FOR THE SUPPLY AND DELIVERY OF 50KV/230V TRANSFORMER

FOR DELIVERY TO: BELLVILLE SQAURE, BELLVILLE SOUTH

ISSUE DATE: 18 MAY 2016

COMPULSORY RFQ BRIEFING: 24 MAY 2016

CLOSING DATE: 31 MAY 2016

CLOSING TIME: 10:00

Section 1
NOTICE TO BIDDERS

Quotations which must be completed as indicated in Section 2 of this RFQ are to be submitted as follows:

METHOD: [post and/or courier]
CLOSING VENUE: [courier and/or tender box at physical address]

Proposals must reach the Secretariat, Acquisition Council before the closing hour on the date shown below, and must be enclosed in a sealed envelope which must have inscribed on the outside:

Closing date and time: 31 May 2016 AT 10:00 AM

Closing address: [Refer to options in Delivery Instructions for RFQ below]

No documents will be issued between 12:45 to 13:30

A. DELIVERY INSTRUCTIONS FOR RFQ

Delivery by Post

If delivered by post and by hand the envelope must be addressed as below and must be dispatched in time for sorting by post office to reach the Secretariat before the closing time of the RFQ:

The Secretariat
Transnet Acquisition Council
P O Box 2036
Bellville,
7535

- a. The measurements of the "tender slot" are 11cm wide x 45cm high, and Respondents must please ensure that response documents or files are no larger than the above dimensions. Responses which are too bulky [i.e. more than 100mm thick] must be split into two or more files, and placed in separate envelopes, each such envelope to be addressed as above.

Dispatch by Hand and courier

If dispatched by courier and by hand , the envelope must be addressed as follows and delivered to the Office of The Chairperson, Transnet Freight Rail Acquisition Council and a signature obtained from that Office:

The Secretariat
Transnet Acquisition Council
Transnet Park
Tender Box
Robert Sobukwe Road
Bellville, 7535

Please note that this RFQ closes punctually at **10:00 on 31 May 2016**.

Please note that the RFQ deadline for questions/RFQ clarifications is **before 10:00 on 30th May 2016**

B. FORMAL RFQ BRIEFING

- i. **A compulsory RFQ briefing will be conducted at Bellville Square, Off Robert Sobukwe Road Protea Boardroom in Bellville South on the 24th of May 2016 at 11h00 for a period of ± 1hour.**
- ii. Respondents to provide own transportation.
- iii. Respondents failing to attend the compulsory RFQ briefing will be disqualified.

Please note that this RFQ closes punctually at **10:00 on 31st May 2016.**

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1 Responses to RFQ

Responses to this RFQ [**Quotations**] must not include documents or reference relating to any other quotation or proposal. Any additional conditions must be embodied in an accompanying letter.

2 COMPULSORY LOCAL CONTENT THRESHOLD

In terms of section 9(1) of the Preferential Procurement Regulations, 2011, and the Instruction Note issued by National Treasury on the "Invitation and Evaluation of Bids based on a stipulated minimum threshold for local content and production for the **Transformer, Shunt and associated equipment** Sector," Transnet is required to set a stipulated minimum threshold be set for this RFQ.

2.1 Local Content Threshold

A Local Content threshold of **70%** [**seventy** percent] will be required for all Goods to be manufactured by a successful Respondent.

For further guidance with regard to the determination of "Local Content," Respondents must refer to the following documentation:

- SABS approved technical specification number SATS 1298:2011
- Guidance on the calculation of Local Content

[available on the DTI website: <http://www.dti.gov.za>]

2.2 Mandatory RFQ Annexures

The regulatory and mandatory RFQ Annexures, which must be completed by all Respondents in order to declare Local Content, are as follows:

- Annexure B – Declaration Certificate for Local Production and Content [SBD 6.2]
- Annexure C – Local Content Declaration: Summary Schedule

Annexures D and E are Supporting Schedules to Annexure C. They are named as follows:

- Annexure D – Imported Content Declaration: Supporting Schedule to Annexure C
- Annexure E – Local Content Declaration: Supporting Schedule to Annexure C

After completing Declaration D, bidders should complete Declaration E and then consolidate the information on Declaration C. Declaration C should be submitted with the bid documentation at the closing date and time of the bid. Declarations D and E should be kept by Respondents for verification purposes for a period of at least 5 years. The successful Respondent is required to continuously update Declarations C, D and E with the actual values for the duration of the contract. In addition to what is stated above regarding Annexures D and E, please note that these declarations are to be submitted as part of the Essential Returnable Documents - See Section 2 of RFQ

2.3 Challenges meeting the Local Content Threshold

Should, after the award of a Bid, the Supplier experience challenges in meeting the stipulated minimum threshold for Local Content, Transnet is required to inform the DTI accordingly in order for the DTI to verify the circumstances and provide directives in this regard.

3 Broad-Based Black Economic Empowerment [B-BBEE]

Transnet fully endorses and supports the Government's Broad-Based Black Economic Empowerment Programme and it would therefore prefer to do business with local business enterprises who share these same values. As described in more detail in the attached B-BBEE Claim Form Transnet will allow a "preference" to companies who provide a valid B-BBEE Verification Certificate.

The value of this bid is estimated to be below R1 000 000 (all applicable taxes included); and therefore the **80/20** system shall be applicable.

Respondents are required to complete Annexure A [the B-BBEE Preference Point Claim Form] and submit it together with proof of their B-BBEE Status as stipulated in the Claim Form in order to obtain preference points for their B-BBEE status.

Note: Failure to submit a valid and original B-BBEE certificate or a certified copy thereof at the Closing Date of this RFQ will result in a score of zero being allocated for B-BBEE.

4 Communication

Respondents are warned that a response will be liable for disqualification should any attempt be made by a Respondent either directly or indirectly to canvass any officer(s) or employee of Transnet in respect of this RFQ between the closing date and the date of the award of the business.

A Respondent may, however, before the closing date and time, direct any written enquiries relating to the RFQ to the following Transnet employee before clarification deadline.

Name: Tshegofatso Shaku Email: Tshegofatso.Shaku@transnet.net

Telephone: 021 940 1876

Respondents may also, at any time after the closing date of the RFQ, communicate the following individuals on any matter relating to its RFQ response before clarification deadline.

Name: Iwan Theron Email: Iwan.Theron@transnet.net

Telephone: 021 940 1896

5 Legal Compliance

The successful Respondent shall be in full and complete compliance with any and all applicable national and local laws and regulations.

6 Changes to Quotations

Changes by the Respondent to its submission will not be considered after the closing date and time.

7 Pricing

All prices must be quoted in South African Rand on a fixed price basis, excluding VAT.

8 Prices Subject to Confirmation

Prices quoted which are subject to confirmation will not be considered.

9 Binding Offer

Any Quotation furnished pursuant to this Request shall be deemed to be an offer. Any exceptions to this statement must be clearly and specifically indicated.

10 Disclaimers

Transnet is not committed to any course of action as a result of its issuance of this RFQ and/or its receipt of a Quotation in response to it. Please note that Transnet reserves the right to:

- modify the RFQ's goods / service(s) and request Respondents to re-bid on any changes;
- reject any Quotation which does not conform to instructions and specifications which are detailed herein;
- disqualify Quotations submitted after the stated submission deadline;
- not necessarily accept the lowest priced Quotation or an alternative bid;
- reject all Quotations, if it so decides;
- place an order in connection with this Quotation at any time after the RFQ's closing date;
- award only a portion of the proposed goods / service/s which are reflected in the scope of this RFQ;
- split the award of the order/s between more than one Supplier/Service Provider, should it at Transnet's discretion be more advantageous in terms of, amongst others, cost or developmental considerations ;
or
- make no award at all.

Should a contract be awarded on the strength of information furnished by the Respondent, which after conclusion of the contract, is proved to have been incorrect, Transnet reserves the right to cancel the contract.

Transnet reserves the right to undertake post-tender negotiations [PTN] with selected Respondents or any number of short-listed Respondents, such PTN to include, at Transnet's option, any evaluation criteria listed in this RFQ document.

Transnet reserves the right to award business to the highest scoring bidder/s unless objective criteria justify the award to another bidder.

Should the preferred bidder fail to sign or commence with the contract within a reasonable period after being requested to do so, Transnet reserves the right to award the business to the next highest ranked bidder, provided that he/she is still prepared to provide the required goods at the quoted price.

11 Specification/Scope of Work

REFER TO ANNEXURE G1 and G2.

Transnet urges its clients, suppliers and the general public to report any fraud or corruption to

TIP-OFFS ANONYMOUS : 0800 003 056

RFQ FOR THE SUPPLY AND DELIVERY OF TRANSFORMER 50KV/230V
CLOSING VENUE: THE SECRETARIAT, TRANSNET ACQUISITION COUNCIL, 06TH FLOOR,
TRANSNET PARK, ROBERT SOBUKWE ROAD, BELLVILLE
CLOSING DATE & TIME : 31 MAY 2016, 10:00AM
VALIDITY PERIOD:90 Business Days

SECTION 2
EVALUATION CRITERIA AND RETURNABLE DOCUMENTS

1 Evaluation Criteria

Transnet will utilise the following criteria [not necessarily in this order] in choosing a Supplier/Service Provider, if so required:

Criterion/Criteria	Explanation
Administrative responsiveness	Completeness of response and returnable documents
Substantive responsiveness	<p>Prequalification criteria, if any, must be met and whether the Bid materially complies with the scope and/or specification given.</p> <ul style="list-style-type: none"> • Indicate any technical prequalification criteria <ul style="list-style-type: none"> ➢ Annexure B – Declaration Certificate for Local Production and Content [SD6.2] ➢ Annexure C – Local Content Declaration: Summary Schedule ➢ Annexure A: Technical Submission Questionnaire completed by the bidder ➢ Annexure F: Technical Compliance Sheet Completed, Signed and Submitted ➢ Quotation Form (Completed in Full) ➢ Annexure G 2: Scope of Work Completed and Submitted
Local Content Threshold	<p>This RFQ is subject to regulation 9 (1) of the Preferential Procurement Policy Framework Act as [Transformer shunt reactors and associated equipment] has been designated for local production and content. As such, Respondents will be required to meet a stipulated minimum threshold for local production and content as stipulated in the relevant Instruction Note issued by National Treasury. The stipulated minimum threshold/s applicable is/are as follows: 70%.</p>
Functionality Threshold	<p>As prescribed in terms of the Preferential Procurement Policy Framework Act (PPPFA), Act 5 of 2000 and its Regulations, Respondents are to note that functionality is included as a threshold with a prescribed percentage threshold of 70%. Previous/ Current relevant experience and Delivery lead-time will be used as functional criteria.</p>

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Final weighted evaluation based on 80/20 preference point	<ul style="list-style-type: none"> Pricing and price basis [firm] B-BBEE status of company - Preference points will be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table indicated in Annexure A: B-BBEE Claim Form.
--	---

Functionality – (Minimum threshold of 70%)

Technical /Functional Criteria	Weighting (%)
Technical Capability	
Previous/Current relevant experience	60%
Delivery lead-time	40%
	100%

The minimum threshold for technical/functionality [Stage Three] must be met or exceeded for a Respondent's Proposal to progress to Stage Four for Final evaluation

1 Validity Period

Transnet desires a validity period of 90 [Ninety] Business Days from the closing date of this RFQ.
This RFQ is valid until 22 September 2016

2 Disclosure of Prices Quoted

Respondents must indicate here whether Transnet may disclose their quoted prices and conditions to other Respondents:

YES NO

3 Returnable Documents

Returnable Documents means all the documents, Sections and Annexures, as listed in the tables below.

All Returnable Sections, as indicated in the header and footer of the relevant pages, must be signed, stamped and dated by the Respondent.

- a) Respondents are required to submit with their Quotations the **mandatory Returnable Documents**, as detailed below.

Failure to provide all these Mandatory Returnable Documents at the Closing Date and time of this RFQ will result in a Respondent's disqualification. Respondents are therefore urged to ensure that all these Documents are returned with their Quotations

Please confirm submission of these mandatory Returnable Documents by so indicating [Yes or No] in the tables below:

Mandatory Returnable Documents	Submitted [Yes or No]
SECTION 3 : Quotation Form (Completed in Full)	
ANNEXURE A: Technical Submission Questionnaire completed by the bidder	
ANNEXURE B – Declaration Certificate for Local Production and Content [SBD6.2]	
ANNEXURE C – Local Content Declaration: Summary Schedule	
ANNEXURE F: Technical Compliance Sheet Completed, Signed and Submitted	
Annexure G 2 : Scope of Work Completed and Submitted	

b) In addition to the requirements of section (a) above, Respondents are further required to submit with their Quotations the following **essential Returnable Documents** as detailed below.

Failure to provide all these Returnable Documents may result in a Respondent's disqualification. Respondents are therefore urged to ensure that all these documents are returned with their Quotations.

Essential Returnable Documents	Submitted [Yes or No]
SECTION 2 : Evaluation criteria and list of returnable documents	
- SECTION 4 : RFQ Declaration and Breach of Law Form	
- SECTION 5 : B-BBEE Preference Points Claim Form	
- SECTION 6 : RFQ Clarification Request Form	
- SECTION 7 : Certificate of attendance of compulsory RFQ Briefing	
Valid and original, or a certified copy, of your entity's B-BBEE Verification Certification as per the requirements stipulated in Annexure A: B-BBEE Claims Form Note: failure to provide these required documents at the closing date and time of the RFQ will result in an automatic score of zero being allocated for preference	
- Original valid Tax Clearance Certificate [Consortia / Joint Ventures must submit a separate Tax Clearance Certificate for each party]	
ANNEXURE D : Imported Content Declaration: Supporting Schedule to Annexure C	
ANNEXURE E : Local Content Declaration: Supporting Schedule to Annexure C	
Annexure G 1 : Scope of Work	

Respondent's Signature

Date & Company Stamp

CONTINUED VALIDITY OF RETURNABLE DOCUMENTS

The successful Respondent will be required to ensure the validity of all returnable documents, including but not limited to its Tax Clearance Certificate and valid B-BBEE Verification Certificate, for the duration of any contract emanating from this RFQ. Should the Respondent be awarded the contract [**the Agreement**] and fail to present Transnet with such renewals as and when they become due, Transnet shall be entitled, in addition to any other rights and remedies that it may have in terms of the eventual Agreement, to terminate such Agreement forthwith without any liability and without prejudice to any claims which Transnet may have for damages against the Respondent.

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SECTION 3 QUOTATION FORM

I/We _____
hereby offer to supply the goods/services at the prices quoted in the Price Schedule below, in accordance with the conditions related thereto.

I/We agree to be bound by those terms and conditions in:

- the Standard RFQ Terms and Conditions for the Supply of Goods or Services to Transnet; and
- any other standard or special conditions mentioned and/or embodied in this Request for Quotation.

I/We accept that unless Transnet should otherwise decide and so inform me/us, this Quotation [and, if any, its covering letter and any subsequent exchange of correspondence], together with Transnet's acceptance thereof shall constitute a binding contract between Transnet and me/us.

I/We further agree that if, after I/we have been notified of the acceptance of my/our Quotation, I/we fail to deliver the said goods/service/s within the delivery lead-time quoted, Transnet may, without prejudice to any other legal remedy which it may have, cancel the order and recover from me/us any expenses incurred by Transnet in calling for Quotations and/or having to accept any less favourable offer.

Price Schedule

I/We quote as follows for the goods required, at "Bellville Square, Bellville South", excluding VAT:

Item No	Description of Goods /Services	Unit of Measure	Quantity	Total Delivered Price (ZAR)
1	Supply and Delivery of 50KV/230v Transformer. N.B Transformer need to be inspected and tested before delivery	EACH	1	

Delivery Lead-Time from date of purchase order : _____ **[days/weeks]**

Notes to Pricing:

- a) All Prices must be quoted in South African Rand, exclusive of VAT
- b) To facilitate like-for-like comparison bidders must submit pricing strictly in accordance with this price schedule and not utilise a different format. Deviation from this pricing schedule could result in a bid being disqualified.

Please note that should you have offered a discounted price(s), Transnet will only consider such price discount(s) in the final evaluation stage if offered on an unconditional basis.

By signing this Quotation Form the Respondent is deemed to acknowledge that he/she has made himself/herself thoroughly familiar, and agrees, with all the conditions governing this RFQ,

Respondent's Signature

Date & Company Stamp

including those contained in any printed form stated to form part hereof, including but not limited to the documents stated below and Transnet SOC Ltd will recognise no claim for relief based on an allegation that the Respondent overlooked any such condition or failed properly to take it into account for the purpose of calculating tendered prices or otherwise:

1. Specifications and drawings included in this RFQ - if applicable; and
2. The following documents all of which are available on Transnet’s website or upon request:
 - 2.1. General Bid Conditions;
 - 2.2. Standard RFQ Terms and Conditions for the Supply of Goods or Services to Transnet;
 - 2.3. Supplier Integrity Pact;
 - 2.4. Non-disclosure Agreement; and
 - 2.5. Vendor Application Form and all supporting documents (first time vendors only)

Alternatively, for all existing vendors, please provide vendor number(s) here:

Transnet Operating Division	Unique Vendor Number	Yes / No
Transnet Group		
TFR, etc.		

In the Yes/No column above, please confirm that all the information e.g. company address and contact details, banking details etc. are still correct as at the time of allocation of the vendor number(s). Alternatively, Respondents are required to provide the updated information with their bid submission.

SIGNED at _____ on this _____ day of _____ 20__

SIGNATURE OF WITNESSES

ADDRESS OF WITNESSES

1 _____
Name _____

2 _____
Name _____

SIGNATURE OF RESPONDENT’S AUTHORISED REPRESENTATIVE: _____

NAME: _____

DESIGNATION: _____

SECTION 4

RFQ DECLARATION AND BREACH OF LAW FORM

NAME OF ENTITY: _____

We _____ do hereby certify that:

1. Transnet has supplied and we have received appropriate responses to any/all questions [as applicable] which were submitted by ourselves for RFQ Clarification purposes;
2. we have received all information we deemed necessary for the completion of this Request for Quotation [RFQ];
3. we have been provided with sufficient access to the existing Transnet facilities/sites and any and all relevant information relevant to the Supply of the Goods as well as Transnet information and Employees, and has had sufficient time in which to conduct and perform a thorough due diligence of Transnet's operations and business requirements and assets used by Transnet. Transnet will therefore not consider or permit any pre- or post-contract verification or any related adjustment to pricing, service levels or any other provisions/conditions based on any incorrect assumptions made by the Respondent in arriving at his Bid Price.
4. at no stage have we received additional information relating to the subject matter of this RFQ from Transnet sources, other than information formally received from the designated Transnet contact(s) as nominated in the RFQ documents;
5. we are satisfied, insofar as our entity is concerned, that the processes and procedures adopted by Transnet in issuing this RFQ and the requirements requested from Bidders in responding to this RFQ have been conducted in a fair and transparent manner; and
6. furthermore, we declare that a family, business and/or social relationship **exists / does not exist** [delete as applicable] between an owner / member / director / partner / shareholder of our entity and an employee or board member of the Transnet Group including any person who may be involved in the evaluation and/or adjudication of this Bid.
7. In addition, we declare that an owner / member / director / partner / shareholder of our entity **is / is not** [delete as applicable] an employee or board member of the Transnet Group.
8. If such a relationship as indicated in paragraph 6 and/or 7 exists, the Respondent is to complete the following section:

FULL NAME OF OWNER/MEMBER/DIRECTOR/
PARTNER/SHAREHOLDER:

ADDRESS:

Indicate nature of relationship with Transnet:

[Failure to furnish complete and accurate information in this regard will lead to the disqualification of a response and may preclude a Respondent from doing future business with Transnet]

9. We declare, to the extent that we are aware or become aware of any relationship between ourselves and Transnet [other than any existing and appropriate business relationship with Transnet] which could unfairly advantage our entity in the forthcoming adjudication process, we shall notify Transnet immediately in writing of such circumstances.

BREACH OF LAW

10. We further hereby certify that I/we **have/have not been** [delete as applicable] found guilty during the preceding 5 [five] years of a serious breach of law, including but not limited to a breach of the Competition Act, 89 of 1998, by a court of law, tribunal or other administrative body. The type of breach that the Respondent is required to disclose excludes relatively minor offences or misdemeanours, e.g. traffic offences. This includes the imposition of an administrative fine or penalty.

Where found guilty of such a serious breach, please disclose:

NATURE OF BREACH:

DATE OF BREACH: _____

Furthermore, I/we acknowledge that Transnet SOC Ltd reserves the right to exclude any Respondent from the bidding process, should that person or entity have been found guilty of a serious breach of law, tribunal or regulatory obligation.

SIGNED at _____ on this _____ day of _____ 20__

For and on behalf of _____ I am duly authorised hereto	AS WITNESS:
Name:	Name:
Position:	Position:
Signature:	Signature:
Date	Registration No of Company/CC _____
Place:	Registration Name of Company/CC _____

RFQ FOR THE SUPPLY AND DELIVERY OF 50KV/230V TRANSFORMER

SECTION 5 : B-BBEE PREFERENCE POINTS CLAIM FORM

This preference form contains general information and serves as a claim for preference points for Broad-Based Black Economic Empowerment [**B-BBEE**] Status Level of Contribution.

1. INTRODUCTION

- 1.1 A total of 20 preference points shall be awarded for B-BBEE Status Level of Contribution.
- 1.2 Failure on the part of a Bidder to fill in and/or to sign this form and to submit a B-BBEE Verification Certificate from a Verification Agency accredited by the South African Accreditation System [**SANAS**] or a Registered Auditor approved by the Independent Regulatory Board of Auditors [**IRBA**] or an Accounting Officer as contemplated in the Close Corporation Act [**CCA**] together with the bid will be interpreted to mean that preference points for B-BBEE Status Level of Contribution are not claimed.
- 1.3 Transnet reserves the right to require of a Bidder, either before a Bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by Transnet.

2. GENERAL DEFINITIONS

- 2.1 "**all applicable taxes**" include value-added tax, pay as you earn, income tax, unemployment insurance fund contributions and skills development levies;
- 2.2 "**B-BBEE**" means broad based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- 2.3 "**B-BBEE status of contributor**" means the B-BBEE status received by a measured entity based on its overall performance using the relevant scorecard contained in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- 2.4 "**Bid**" means a written offer in a prescribed or stipulated form in response to an invitation by Transnet for the provision of goods, works or services;
- 2.5 "**Broad-Based Black Economic Empowerment Act**" means the Broad-Based Black Economic Empowerment Act, 2003 [Act No. 53 of 2003];
- 2.6 "**comparative price**" means the price after the factors of a non-firm price and all unconditional discounts that can be utilised have been taken into consideration;
- 2.7 "**consortium or joint venture**" means an association of persons for the purpose of combining their expertise, property, capital, efforts, skills and knowledge in an activity for the execution of a contract;
- 2.8 "**contract**" means the agreement that results from the acceptance of a bid by Transnet;
- 2.9 "**EME**" means any enterprise with an annual total revenue of R5 [five] million or less as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue of R10 [ten] million or less as per the Revised Codes of Good Practice issued on 11

October 2013 in terms of Government Gazette No. 36928;

- 2.10 **"firm price"** means the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs and excise duty and any other duty, levy, or tax, which, in terms of the law or regulation, is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;
- 2.11 **"functionality"** means the measurement according to predetermined norms, as set out in the bid documents, of a service or commodity that is designed to be practical and useful, working or operating, taking into account, among other factors, the quality, reliability, viability and durability of a service and the technical capacity and ability of a bidder;
- 2.12 **"non-firm prices"** means all prices other than "firm" prices;
- 2.13 **"person"** includes reference to a juristic person;
- 2.14 **"QSE"** means any enterprise with an annual total revenue between R5 [five] million and R35 [thirty five] million as per the 2007 version of the B-BBEE Codes of Good Practice and means any enterprise with an annual total revenue of between R10 [ten] million and R50 [fifty] million as per the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928
- 2.15 **"rand value"** means the total estimated value of a contract in South African currency, calculated at the time of bid invitations and includes all applicable taxes and excise duties;
- 2.16 **"subcontract"** means the primary contractor's assigning or leasing or making out work to, or employing another person to support such primary contractor in the execution of part of a project in terms of the contract;
- 2.17 **"total revenue"** bears the same meaning assigned to this expression in the Codes of Good Practice on Black Economic Empowerment, issued in terms of section 9(1) of the Broad-Based Black Empowerment Act and promulgated in the Government Gazette on 9 February 2007;
- 2.18 **"trust"** means the arrangement through which the property of one person is made over or bequeathed to a trustee to administer such property for the benefit of another person; and
- 2.19 **"trustee"** means any person, including the founder of a trust, to whom property is bequeathed in order for such property to be administered for the benefit of another person.

3. ADJUDICATION USING A POINT SYSTEM

- 3.1 The Bidder obtaining the highest number of total points for the evaluation criteria as enumerated in Section 2 of the RFP will be awarded the contract, unless objective criteria justifies the award to another bidder.
- 3.2 Preference points shall be calculated after prices have been brought to a comparative basis taking into account all factors of non-firm prices and all unconditional discounts.
- 3.3 Points scored will be rounded off to 2 [two] decimal places.
- 3.4 In the event of equal points scored, the Bid will be awarded to the Bidder scoring the highest number of preference points for B-BBEE.
- 3.5 However, when functionality is part of the evaluation process and two or more Bids have scored

equal points including equal preference points for B-BBEE, the successful Bid will be the one scoring the highest score for functionality.

3.6 Should two or more Bids be equal in all respect, the award shall be decided by the drawing of lots.

4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTION

4.1 In terms of the Preferential Procurement Regulations, 2011, preference points shall be awarded to a Bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of Points [Maximum 20]
1	20
2	18
3	16
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

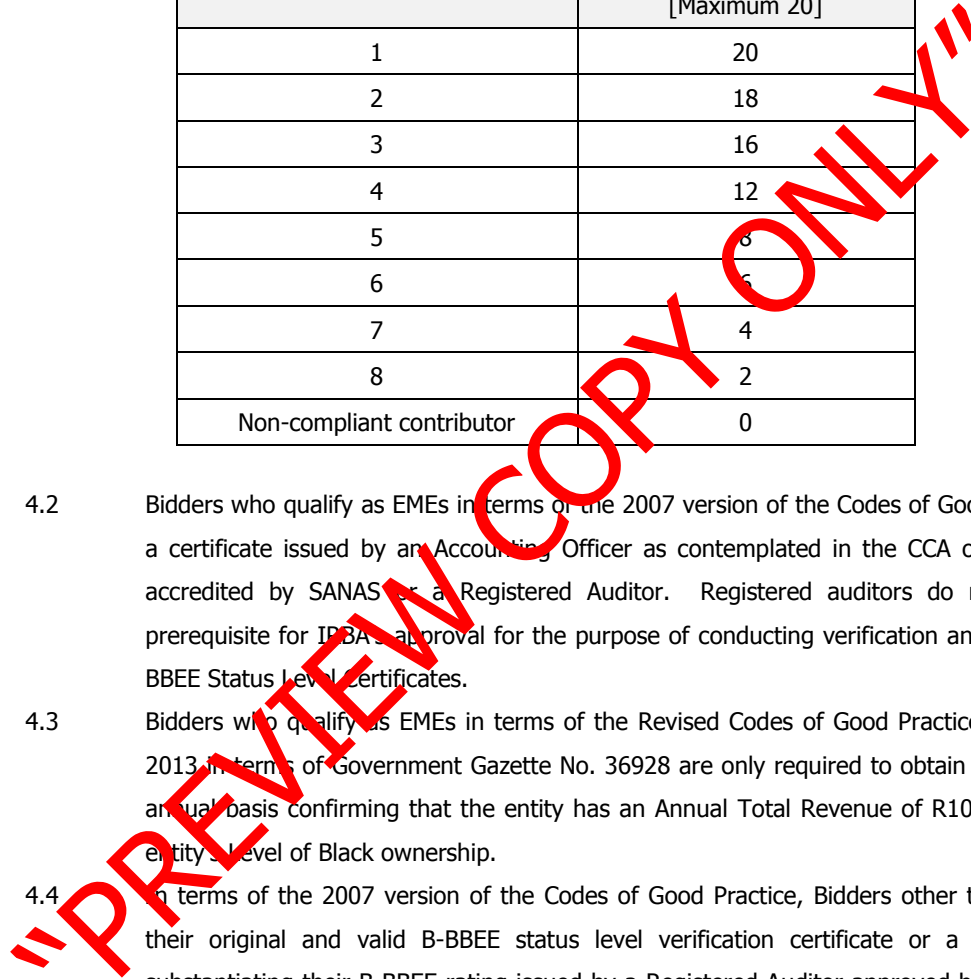
4.2 Bidders who qualify as EMEs in terms of the 2007 version of the Codes of Good Practice must submit a certificate issued by an Accounting Officer as contemplated in the CCA or a Verification Agency accredited by SANAS or a Registered Auditor. Registered auditors do not need to meet the prerequisite for IRBA approval for the purpose of conducting verification and issuing EME's with B-BBEE Status Level Certificates.

4.3 Bidders who qualify as EMEs in terms of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928 are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R10 million or less and the entity's level of Black ownership.

4.4 In terms of the 2007 version of the Codes of Good Practice, Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.

4.5 The Department of Trade and Industry recently revised the Codes of Good Practice on 11 October 2013 [Government Gazette No. 36928]. The Revised Codes will replace the Black Economic Empowerment Codes of Good Practice issued on 9 February 2007. The Revised Codes provide for a transitional period ending 30 April 2015. During the transitional period, companies may elect to be measured in terms of the Revised Codes or the 2007 version of the Codes. Companies which are governed by Sector-specific Codes will be measured in terms of those Sector Codes.

4.6 As such, Transnet will accept B-BBEE certificates issued based on the Revised Codes. Transnet will also continue to accept B-BBEE certificates issued in terms of the 2007 version of the Codes provided it was issued before 1 May 2015. Thereafter, Transnet will only accept B-BBEE certificates issued based on the Revised Codes.



- 4.7 In terms of the Revised Codes of Good Practice, Bidders who qualify as QSEs must comply with all the elements of B-BBEE for the purposes of measurement. QSEs that are at least 51% or 100% Black owned are only required to obtain a sworn affidavit on an annual basis confirming that the entity has an Annual Total Revenue of R50 million or less and the entity's Level of Black ownership. Large enterprises must submit their original and valid B-BBEE status level verification certificate or a certified copy thereof, substantiating their B-BBEE rating issued by a Registered Auditor approved by IRBA or a Verification Agency accredited by SANAS.
- 4.8 A trust, consortium or joint venture will qualify for points for its B-BBEE status level as a legal entity, provided that the entity submits its B-BBEE status level certificate.
- 4.9 A trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate bid.
- 4.10 Tertiary institutions and public entities will be required to submit their B-BBEE status level certificates in terms of the specialised scorecard contained in the B-BBEE Codes of Good Practice.
- 4.11 A person will not be awarded points for B-BBEE status level if it is indicated in the Bid documents that such a Bidder intends subcontracting more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not qualify for at least the same number of points that such a Bidder qualifies for, unless the intended subcontractor is an EME that has the capability and ability to execute the subcontract.
- 4.12 A person awarded a contract may not subcontract more than 25% [twenty-five per cent] of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is subcontracted to an EME that has the capability and ability to execute the subcontract.
- 4.13 Bidders are to note that in terms of paragraph 2.6 of Statement 000 of the Revised Codes of Good Practice issued on 11 October 2013 in terms of Government Gazette No. 36928, any representation made by an entity about its B-BBEE compliance must be supported by suitable evidence or documentation. As such, Transnet reserves the right to request such evidence or documentation from Bidders in order to verify any B-BBEE recognition claimed.

5. B-BBEE STATUS AND SUBCONTRACTING

- 5.1 **Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:**

B-BBEE Status Level of Contributor _____ = _____ [maximum of 20 points]

Note: Points claimed in respect of this paragraph 5.1 must be in accordance with the table reflected in paragraph 4.1 above and must be substantiated by means of a B-BBEE certificate issued by a Verification Agency accredited by SANAS or a Registered Auditor approved by IRBA or a sworn affidavit in the case of an EME or QSE.

- 5.2 **Subcontracting:**

Will any portion of the contract be subcontracted? YES/NO [delete which is not applicable]

If YES, indicate:

- (i) What percentage of the contract will be subcontracted?%
- (ii) The name of the subcontractor
- (iii) The B-BBEE status level of the subcontractor
- (iv) Is the subcontractor an EME? YES/NO

5.3 Declaration with regard to Company/Firm

- (i) Name of Company/Firm.....
- (ii) VAT registration number.....
- (iii) Company registration number.....
- (iv) Type of Company / Firm [TICK APPLICABLE BOX]
 - Partnership/Joint Venture/Consortium
 - One person business/sole propriety
 - Close Corporations
 - Company (Pty) Ltd
- (v) Describe Principal Business Activities
.....
.....
.....
- (vi) Company Classification [TICK APPLICABLE BOX]
 - Manufacturer
 - Supplier
 - Professional Service Provider
 - Other Service Providers, e.g Transporter, etc
- (vii) Total number of years the company/firm has been in business.....

BID DECLARATION

I/we, the undersigned, who warrants that he/she is duly authorised to do so on behalf of the company/firm, certify that points claimed, based on the B-BBEE status level of contribution indicated in paragraph 4 above, qualifies the company/firm for the preference(s) shown and I / we acknowledge that:

- (i) The information furnished is true and correct.
- (ii) In the event of a contract being awarded as a result of points claimed as shown in paragraph 6 above, the contractor may be required to furnish documentary proof to the satisfaction of Transnet that the claims are correct.
- (iii) If the B-BBEE status level of contribution has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, Transnet may, in addition to any other remedy it may have:
 - (a) disqualify the person from the bidding process;
 - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
 - (c) cancel the contract and claim any damages which it has suffered as a result of

- having to make less favourable arrangements due to such cancellation;
- (d) restrict the Bidder or contractor, its shareholders and directors, and/or associated entities, or only the shareholders and directors who acted in a fraudulent manner, from obtaining business from Transnet for a period not exceeding 10 years, after the *audi alteram partem* [hear the other side] rule has been applied; and/or
- (e) forward the matter for criminal prosecution.

WITNESSES:

- 1.
- 2.

SIGNATURE OF BIDDER

DATE:.....

COMPANY NAME:

ADDRESS:.....

"PREVIEW COPY ONLY"

RFQ FOR THE SUPPLY AND DELIVERY OF TRANSFORMER 50KV/230V

Section 6: RFQ CLARIFICATION REQUEST FORM

RFQ No: RME CPT 403/2016

RFQ deadline for questions / RFQ Clarifications: Before 10:00 on 30 May 2016

TO: Transnet SOC Ltd
ATTENTION: Tshegofatso Shaku
EMAIL: [Tshegofatso.Shaku@transnet.net]
DATE: _____
FROM: _____

RFQ Clarification No [to be inserted by Transnet]

REQUEST FOR RFQ CLARIFICATION

"PREVIEW COPY ONLY"

Respondent's Signature

Date & Company Stamp

RFQ FOR THE SUPPLY AND DELIVERY OF 50KV/230V TRANSFORMER

Section 7: CERTIFICATE OF ATTENDANCE OF COMPULSORY RFQ BRIEFING

RFQ Briefing

It is hereby certified that –

1. _____

2. _____

Representative(s) of _____ *[Name of entity]*

attended the RFQ (Briefing) in respect of the proposed goods to be supplied in terms of this RFP on
_____ 20____

TRANSNET'S REPRESENTATIVE

RESPONDENT'S REPRESENTATIVE

DATE _____

DATE _____

EMAIL _____

"PREVIEW COPY ONLY"